

Certificate of Mailing/Transmission (37 C.F.R. § 1.8(a)):

[X] Pursuant to 37 C.F.R. § 1.8, I hereby certify that this paper and all enclosures are being deposited with the United States Postal Service as first class mail on the date indicated below in an envelope addressed to the Assistant Commissioner for Patents, Washington D.C. 20231.

[ ] Pursuant to 37 C.F.R. § 1.6(d), I hereby certify that this paper and all enclosures are being sent via facsimile on the date indicated below to the attention of Examiner \_\_\_\_\_ at Facsimile No. \_\_\_\_\_ at \_\_\_\_\_ a.m./p.m.

Dated: May 23, 2002

Name of Person Certifying:

Printed Name: Bernice E. Worley

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Laurie DeLeve

Assignee: University of Southern California

Filing Date: February 27, 2002

Examiner: Not assigned

Serial No.: 10/086,072

Group Art Unit: 1619

Title: Composition and Method for Preventing and Treating Sinusoidal Obstruction Syndrome and Radiation-Induced Liver Disease

BOX MISSING PARTS

Assistant Commissioner for Patents  
Washington, D.C. 20231

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Submission of Missing Parts of Application Under 37 C.F.R. 1.53

Sir:

In response to the Notice of Missing Parts of Application mailed on March 26, 2002, enclosed are the following items for filing in the above-referenced U.S. Patent Application:

- ☒ Declaration and Power of Attorney [3] page(s)  
Payment for surcharge for late filing ☒ \$65.00 *small entity* ☐ \$130.00 *large entity*
- ☒ Applicant(s) claim Small Entity Status under 37 CFR § 1.27.
- ☒ Copy of Notice to File Missing Parts of Nonprovisional Application dated March 26, 2002.
- ☒ Copy of Filing Receipt dated March 26, 2002 which has been corrected to reflect Attorney Docket No. 13761-7065. Please send a Corrected Filing Receipt and update the PTO's files to reflect Docket No. 13761-7065 for patent application no. 10/086,072.
- ☒ Return Postcard.

TOTAL FEES: \$65.00

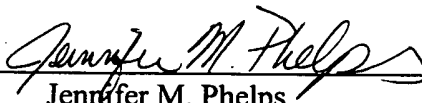
- ☒ Conditional Petition for Extension of Time: An extension of time is requested to provide for timely filing if an extension of time is still required after all papers filed with this communication have been considered.
- ☒ Please charge the above fee(s) to Deposit Account 50-1192, Docket No.: 13761-7065 in the amount of \$65.00 to cover the above fees. *A duplicate copy of this sheet is attached.*
- ☒ The Commissioner is hereby authorized to charge payment of any additional fees due or credit any overpayment to Deposit Account No. 50-1192, Docket No. 13761-7065. *A duplicate copy of this sheet is enclosed.*

Having now complied with all of the requirements of 37 C.F.R. 1.53, applicant respectfully requests that this application be placed upon the files for examination.

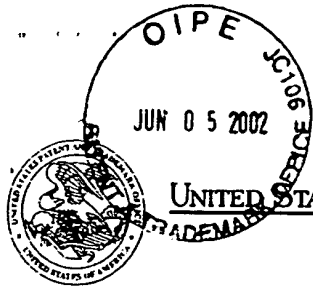
Date: May 27<sup>3</sup>, 2002

Respectfully submitted,

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By:   
Jennifer M. Phelps  
Registration No. 48,656

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COMMISSIONER FOR PATENTS  
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WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/086,072	02/27/2002	Laurie DeLeve	13761-7064

CONFIRMATION NO. 1401

FORMALITIES LETTER



Jennifer M. Phelps  
McCutchen, Doyle, Brown & Enersen, LLP  
18th Floor  
Three Embarcadero Center  
San Francisco, CA 94111

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Date Mailed: 03/26/2002

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

**FILED UNDER 37 CFR 1.53(b)**

***Filing Date Granted***

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

*A copy of this notice **MUST** be returned with the reply.*

*Selam*  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

06/10/2002 BABRAH21 00000029 501193 10086672

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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY'S NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/086,072	02/27/2002	1619	630	<del>13761-7064</del>	1	17	5

CONFIRMATION NO. 1401

FILING RECEIPT



\*OC00000007720366\*

 Jennifer M. Phelps  
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 San Francisco, CA 94111

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APR 01 2002

MD3&amp;E

Date Mailed: 03/26/2002  
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Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

Laurie DeLeve, Playa del Rey, CA;

**Assignment For Published Patent Application**

University of Southern California, Los Angeles, CA;

**Domestic Priority data as claimed by applicant**

THIS APPLN CLAIMS BENEFIT OF 60/271,780 02/27/2001

**Foreign Applications**

If Required, Foreign Filing License Granted 03/26/2002

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

DOCKETED

Title

Composition and method for preventing and treating sinusoidal obstruction syndrome and

radiation-induced liver disease

Preliminary Class

424

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**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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**NOT GRANTED**

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